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10/770,423	02/04/2004	Mike Soumokil	07781.0140-00 1939	
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Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

		Application No.	Applicant(s)			
Office Action Summary		10/770,423	SOUMOKIL ET AL.			
		Examiner	Art Unit			
		Usmaan Saeed	2166			
The MAILING DATE of this communication appears on the cover sheet with the correspondence address Period for Reply						
A SHO WHIC - Exter after - If NO - Failui Any r	ORTENED STATUTORY PERIOD FOR REPLY CHEVER IS LONGER, FROM THE MAILING DATE is used to reply its specified above, the maximum statutory period for reply within the set or extended period for reply will, by statute, eply received by the Office later than three months after the mailing and patent term adjustment. See 37 CFR 1.704(b).	ATE OF THIS COMMUNICATION 36(a). In no event, however, may a reply be tim vill apply and will expire SIX (6) MONTHS from , cause the application to become ABANDONEI	N. nely filed the mailing date of this communication. D (35 U.S.C. § 133).			
Status						
1)⊠	Responsive to communication(s) filed on 21 Ap	<u>oril 2007</u> .				
	This action is <b>FINAL</b> . 2b)⊠ This action is non-final.					
3)	Since this application is in condition for allowance except for formal matters, prosecution as to the merits is					
	closed in accordance with the practice under Ex parte Quayle, 1935 C.D. 11, 453 O.G. 213.					
Dispositi	on of Claims					
5)□ 6)⊠ 7)□	Claim(s) <u>1,3-8,10,12-14,16,18-20,22,24,25,28</u> 4a) Of the above claim(s) is/are withdraw Claim(s) is/are allowed. Claim(s) <u>1, 3-8, 10, 12-14, 16, 18-20, 22, 24-23</u> Claim(s) is/are objected to. Claim(s) are subject to restriction and/o	wn from consideration.  5 and 28-29 is/are rejected.	ication.			
Applicati	on Papers					
10)🛛	The specification is objected to by the Examine The drawing(s) filed on <u>04 February 2006</u> is/are Applicant may not request that any objection to the Replacement drawing sheet(s) including the correct The oath or declaration is objected to by the Ex	e: a) accepted or b) objected drawing(s) be held in abeyance. See ion is required if the drawing(s) is obj	e 37 CFR 1.85(a). jected to. See 37 CFR 1.121(d).			
Priority u	ınder 35 U.S.C. § 119					
<ul> <li>12)  Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).</li> <li>a)  All b)  Some * c) None of:</li> <li>1.  Certified copies of the priority documents have been received.</li> <li>2.  Certified copies of the priority documents have been received in Application No</li> <li>3.  Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).</li> <li>* See the attached detailed Office action for a list of the certified copies not received.</li> </ul>						
2) Notic 3) Inform	t(s) e of References Cited (PTO-892) e of Draftsperson's Patent Drawing Review (PTO-948) mation Disclosure Statement(s) (PTO/SB/08) r No(s)/Mail Date	4) Interview Summary Paper No(s)/Mail Da 5) Notice of Informal P 6) Other:	nte			

Continued Examination Under 37 CFR 1.114

1. A request for continued examination under 37 CFR 1.114, including the fee set

forth in 37 CFR 1.17(e), was filed in this application after final rejection. Since this

application is eligible for continued examination under 37 CFR 1.114, and the fee set

forth in 37 CFR 1.17(e) has been timely paid, the finality of the previous Office action

has been withdrawn pursuant to 37 CFR 1.114. Applicant's submission filed on

4/12/2007 has been entered.

Claims 1, 3-8, 10, 12, 14, 16, 18, 20, 22, 24-25, and 28 have been amended.

Claims 2, 9, 15, and 21 (claims 11, 17, 23, 26, and 27 being previously cancelled) have

been cancelled.

Claim Objections

2. In view of the amendments to claim 12 received on 04/12/2007, the claim

objections are hereby withdrawn.

Claim Rejections - 35 USC § 101

3. 35 U.S.C. 101 reads as follows:

Whoever invents or discovers any new and useful process, machine, manufacture, or composition of matter, or any new and useful improvement thereof, may obtain a patent therefor, subject to the conditions and requirements of this title.

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Claims 1, 3-8, 10, 12-14, 16, 18-20, 22, 24-25 and 28-29 remain rejected under 35 U.S.C. 101 as being directed to non-statutory subject matter. The language of the claims raises a question as to whether the claims are directed merely to an environment or machine which would result in a practical application producing a concrete useful, and tangible result to form the basis of statutory subject matter under 35 U.S.C. 101.

Claims 1, 3-8, 10, 12-14, 16, 18-20, 22, 24-25 and 28-29 are rejected because the claims do not recite a practical application by producing a physical transformation or producing a useful, concrete, and tangible results. To perform a physical transformation, the claimed invention must transform an article of physical object into a different state or thing. Transformation of data is not a physical transformation. A useful, concrete, and tangible results must be either specifically recited in the claim or flow inherently therefrom. To be useful the claimed invention must establish a specific, substantial, and credible utility. To be concrete the claimed invention must be able to produce reproducible results. To be tangible the claimed invention must produce must produce a practical application or real world result.

Applicant states in the arguments that "workflows are designed to solve specific problems and state dependent workflows are useful concrete and tangible."

It is still unclear about the result being produced by these claims. It is not evident from the claims that if there are any results after these workflows are initiated and if any results from these workflows are being stored or being presented to user.

To expedite a complete examination of the instant application the claims rejected under U.S.C. 101 (nonstatutory) above are further rejected as set forth below in anticipation of application amending these claims to place them within the four categories of invention.

## Claim Rejections - 35 USC § 103

4. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negatived by the manner in which the invention was made.

This application currently names joint inventors. In considering patentability of the claims under 35 U.S.C. 103(a), the examiner presumes that the subject matter of

the various claims was commonly owned at the time any inventions covered therein were made absent any evidence to the contrary. Applicant is advised of the obligation under 37 CFR 1.56 to point out the inventor and invention dates of each claim that was not commonly owned at the time a later invention was made in order for the examiner to consider the applicability of 35 U.S.C. 103(c) and potential 35 U.S.C. 102(e), (f) or (g) prior art under 35 U.S.C. 103(a).

Claims 1, 3-8, 10, 12-14, 16, 18-20, 22, 24-25 and 28-29 are rejected under 35 U.S.C. 103(a) as being unpatentable over **Ludwig et al.** (**Ludwig** hereinafter) (U.S. PG Pub No. 2003/0004874) in view of **Haseltine et al.** (U. S. Patent No. 6,578,015).

With respect to claim 1, Ludwig teaches "A computer readable storage medium for storing an electronic data record, the electronic data record comprising data of an invoice, the electronic data record including a plurality of data fields, the plurality of data fields comprising" as the system may allow data to be entered for the following exemplary fields, which the system may be adapted to store as global information on the database: name, address, city, state, zip, country, phone, number, fax number, and maximum invoice amount allowed. The system may use the maximum invoice amount allowed field to establish a threshold for a maximum payment for a single invoice (Ludwig Paragraph 0075).

"a data field for identification of a current state of the processing of the invoice assigned by a user" as "Paid Through Another Source" may be provided by

the system as an option for the biller system user to mark an invoice as closed by selecting desired invoices and clicking on the "Paid through another source" button. Once this occurs, the system may, for the invoices in question, update their audit trail to reflect that they were paid outside the system, and then change their status to closed (Ludwig Paragraph 0091 & 0130). Therefore the user is entering the state "closed" by clicking on the button. Therefore the identification of the current invoice is that it is paid and closed.

Further Ludwig teaches the filter area, the system may provide the following exemplary choices: by date (past due, eligible for discount, due within xxx days); and by status (paid invoices, adjusted invoices, unpaid invoices, paid through another source); and by payer (all payer, specific payer); and by attribute range between xxx and yyy (none, invoice numbers, store/location, purchase orders, purchase request number, invoice issue dates, dollar amount, bill of lading numbers, receiving location zipcodes, invoice aging) (Ludwig Paragraph 0080). These lines also teach the identification of the current state of the processing of the invoice.

"the data field being used for starting at least one of a plurality of state dependent workflows, wherein the started state dependent workflow depends on the current state identified by the data field" as figures 6a-6c (Ludwig Figures 6a-6c). Figures 9a-9b teach a state dependent workflow for the payment of an invoice. Figure 7c teaches a state dependent workflow for adjustment of invoices.

In figures 9a and 9b, the workflow for the payment is being initiated which is being dependent on the current state of the invoice, which is that the invoice is pending or due.

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"wherein the data field has a link to a table" as the system may link the status field to the invoice history page, at which the system may display a full status history for the selected invoice. By default, the system may display the following exemplary columns: payer name, invoice number, due date, status, net amount due, amount to pay, P.O. number, P.O. requisition number, store number, and select (Ludwig Paragraph 0092).

Ludwig teaches the elements of claim 1 as noted above but does not explicitly discloses, "wherein the data field has a link to state value table comprising the current state."

However, Haseltine discloses, "wherein the data field has a link to state value table comprising the current state" as a status table may be generated for the bill to indicate the current status of the bill (Haseltine Col 3, Lines 41-43).

Status tables 436 may also maintained in the active area 430 and may be viewed by customers. As the name implies, the status tables 436 track the status of the bills presented to the customers in the active area 430. For example, the status tables 436 may track whether a customer's bills have been viewed, paid, have been submitted or are pending. Other indicia indicative of the status of the customers' bills may also be included in the status tables 436 (Haseltine Col 6, Lines 11-20).

It would have been obvious to one of ordinary skill in the art at the time the invention was made to combine the teaching of the cited references because

Haseltine's teaching would have allowed Ludwig to allow customers to view and pay electronic bills in a flexible manner without the involvement of paper bill and checks.

With respect to claim 3, Ludwig teaches "the computer readable storage medium for storing electronic data record of claim 1, wherein the state value table comprises a description of the current state" as the system may link the status field to the invoice history page, at which the system may display a full status history for the selected invoice. By default, the system may display the following exemplary columns: payer name, invoice number, due date, status, net amount due, amount to pay, P.O. number, P.O. requisition number, store number, and select (Ludwig Paragraph 0092).

Ludwig teaches the elements of claim 3 as noted above but does not explicitly discloses, "wherein the state value table comprises a description of the current state."

However, Haseltine discloses, "wherein the state value table comprises a description of the current state" as a status table may be generated for the bill to indicate the current status of the bill (Haseltine Col 3, Lines 41-43).

Status tables 436 may also maintained in the active area 430 and may be viewed by customers. As the name implies, the status tables 436 track the status of the bills presented to the customers in the active area 430. For example, the status tables 436 may track whether a customer's bills have been viewed, paid, have been submitted or

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are pending. Other indicia indicative of the status of the customers' bills may also be included in the status tables 436 (**Haseltine** Col 6, Lines 11-20).

It would have been obvious to one of ordinary skill in the art at the time the invention was made to combine the teaching of the cited references because

Haseltine's teaching would have allowed Ludwig to allow customers to view and pay electronic bills in a flexible manner without the involvement of paper bill and checks.

With respect to claim 4, Ludwig teaches "the computer readable storage medium for storing electronic data record of claim 1, wherein the state value table comprises one or more instructions which depend on the current state and are automatically executable by a computer system" as "Close" 608 may cause the system to mark as closed all invoices that are selected. The system may display to the user a confirmation message before the invoices are closed (Ludwig Paragraph 0090). "Paid Through Another Source" may be provided by the system as an option for the biller system user to mark an invoice as closed by selecting desired invoices and clicking on the "Paid through another source" button (Ludwig Paragraph 0091).

Ludwig teaches the elements of claim 4 as noted above but does not explicitly discloses, "the state value table."

However, **Haseltine** discloses, "the state value table" as a status table may be generated for the bill to indicate the current status of the bill (**Haseltine** Col 3, Lines 41-43). Status tables 436 may also maintained in the active area 430 and may be viewed by customers. As the name implies, the status tables 436 track the status of the bills

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presented to the customers in the active area 430. For example, the status tables 436 may track whether a customer's bills have been viewed, paid, have been submitted or are pending. Other indicia indicative of the status of the customers' bills may also be included in the status tables 436 (**Haseltine** Col 6, Lines 11-20).

It would have been obvious to one of ordinary skill in the art at the time the invention was made to combine the teaching of the cited references because

Haseltine's teaching would have allowed Ludwig to allow customers to view and pay electronic bills in a flexible manner without the involvement of paper bill and checks.

With respect to claim 5, Ludwig teaches "the computer readable storage medium for storing electronic data record of claim 1, wherein the state value table comprises an assignment of the current state to an event which can occur during the processing of the invoice" as in this section, the system may permit biller system users to be associated with specific system events, which associations the system may be adapted to store as global information on the database. Any time one of these specific events occurs, the system may generate an automatic e-mail and send it to the selected list of biller system users. For example, exemplary distribution list choices may include: invoices loaded successfully, invoices loaded unsuccessfully, invoice adjusted, payment authorized, payment canceled, payment completed, and payment notification (Ludwig Paragraph 0104). The system may only permit invoices with the status of "paid", "presented", or "viewed" to be closed. All other invoice states may indicate payer

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workflow is in progress, and the system may not permit invoices having such states to be closed (**Ludwig** Paragraph 0105).

Ludwig teaches the elements of claim 5 as noted above but does not explicitly discloses, "the state value table comprises an assignment of the current state."

However, Haseltine discloses, "the state value table comprises an assignment of the current state" as a status table may be generated for the bill to indicate the current status of the bill (Haseltine Col 3, Lines 41-43). Status tables 436 may also maintained in the active area 430 and may be viewed by customers. As the name implies, the status tables 436 track the status of the bills presented to the customers in the active area 430. For example, the status tables 436 may track whether a customer's bills have been viewed, paid, have been submitted or are pending. Other indicia indicative of the status of the customers' bills may also be included in the status tables 436 (Haseltine Col 6, Lines 11-20).

It would have been obvious to one of ordinary skill in the art at the time the invention was made to combine the teaching of the cited references because

Haseltine's teaching would have allowed Ludwig to allow customers to view and pay electronic bills in a flexible manner without the involvement of paper bill and checks.

With respect to claim 6, Ludwig teaches "the computer readable storage medium for storing electronic data record of claim 1, wherein the electronic data record is at least partially accessible via the Internet and wherein the content of the data field for the current state or a data field for comments is editable via the

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Internet" as the system may permit information to be maintained and edited at this page, which the system may store as global information on the database (Ludwig Paragraph 0064). The present invention may be appropriately adapted to include such communication functionality and Internet browsing ability (Ludwig Paragraph 0157).

With respect to claim 7, Ludwig teaches "the computer readable storage medium for storing electronic data record of claim 1, wherein the state value table comprises one or more state dependent proposals for changing the current state" as the system may, for the invoices in question, update their audit trail to reflect that they were paid outside the system, and then change their status to "Closed" (Ludwig Paragraph 0091 & Figure 9a). Figure 9a shows invoice status list reference numeral 909.

Ludwig teaches the elements of claim 7 as noted above but does not explicitly discloses, "the state value table."

However, **Haseltine** discloses, "the state value table" as a status table may be generated for the bill to indicate the current status of the bill (**Haseltine** Col 3, Lines 41-43). Status tables 436 may also maintained in the active area 430 and may be viewed by customers. As the name implies, the status tables 436 track the status of the bills presented to the customers in the active area 430. For example, the status tables 436 may track whether a customer's bills have been viewed, paid, have been submitted or are pending. Other indicia indicative of the status of the customers' bills may also be included in the status tables 436 (**Haseltine** Col 6, Lines 11-20).

It would have been obvious to one of ordinary skill in the art at the time the invention was made to combine the teaching of the cited references because

Haseltine's teaching would have allowed Ludwig to allow customers to view and pay electronic bills in a flexible manner without the involvement of paper bill and checks.

With respect to claim 8, Ludwig teaches "a method for processing an electronic data record containing data of an invoice, the electronic data record including a plurality of data fields," as the system may allow data to be entered for the following exemplary fields, which the system may be adapted to store as global information on the database: name, address, city, state, zip, country, phone, number, fax number, and maximum invoice amount allowed. The system may use the maximum invoice amount allowed field to establish a threshold for a maximum payment for a single invoice (Ludwig Paragraph 0075) "the plurality of data fields comprising a data field for identification of a current state of the processing of the invoice assigned by a user, the method being performed by one or more processes running in a computer platform and comprising" as "Paid Through Another Source" may be provided by the system as an option for the biller system user to mark an invoice as closed by selecting desired invoices and clicking on the "Paid through another source" button. Once this occurs, the system may, for the invoices in question, update their audit trail to reflect that they were paid outside the system, and then change their status to closed (Ludwig Paragraph 0091 & 0130). Therefore the user is

entering the state "closed" by clicking on the button. Therefore the identification of the current invoice is that it is paid and closed.

Further, **Ludwig** teaches the filter area, the system may provide the following exemplary choices: by date (past due, eligible for discount, due within xxx days); and by status (paid invoices, adjusted invoices, unpaid invoices, paid through another source); and by payer (all payer, specific payer); and by attribute range between xxx and yyy (none, invoice numbers, store/location, purchase orders, purchase request number, invoice issue dates, dollar amount, bill of lading numbers, receiving location zipcodes, invoice aging) (**Ludwig** Paragraph 0080). These lines also teach the identification of the current state of the processing of the invoice.

"displaying a dialogue for enabling the current state to be entered by the user and assigning the current sate entered by the user to the data field" as "Paid Through Another Source" may be provided by the system as an option for the biller system user to mark an invoice as closed by selecting desired invoices and clicking on the "Paid through another source" button. Once this occurs, the system may, for the invoices in question, update their audit trail to reflect that they were paid outside the system, and then change their status to closed (Ludwig Paragraph 0091 & 0130). Therefore the user is entering the state "closed" by clicking on the button. Therefore the identification of the current invoice is that it is paid and closed.

"wherein the data field has a link to a table" as the system may link the status field to the invoice history page, at which the system may display a full status history for the selected invoice. By default, the system may display the following exemplary

columns: payer name, invoice number, due date, status, net amount due, amount to pay, P.O. number, P.O. requisition number, store number, and select (**Ludwig** Paragraph 0092).

"starting at least one of a plurality of state dependent workflows, wherein the started state dependent workflow depends on the current state identified by the data field" as figures 6a-6c (Ludwig Figures 6a-6c). Figures 9a-9b teach a state dependent workflow for the payment of an invoice. Figure 7c teaches a state dependent workflow for adjustment of invoices.

In figures 9a and 9b, the workflow for the payment is being initiated which is being dependent on the current state of the invoice, which is that the invoice is pending or due.

Ludwig teaches the elements of claim 8 as noted above but does not explicitly discloses, "wherein the data field has a link to state value table comprising the current state."

However, Haseltine discloses, "wherein the data field has a link to state value table comprising the current state" as a status table may be generated for the bill to indicate the current status of the bill (Haseltine Col 3, Lines 41-43).

Status tables 436 may also maintained in the active area 430 and may be viewed by customers. As the name implies, the status tables 436 track the status of the bills presented to the customers in the active area 430. For example, the status tables 436 may track whether a customer's bills have been viewed, paid, have been submitted or

are pending. Other indicia indicative of the status of the customers' bills may also be included in the status tables 436 (Haseltine Col 6, Lines 11-20).

It would have been obvious to one of ordinary skill in the art at the time the invention was made to combine the teaching of the cited references because

Haseltine's teaching would have allowed Ludwig to allow customers to view and pay electronic bills in a flexible manner without the involvement of paper bill and checks.

With respect to claim 10, Ludwig teaches "the method of claim 8, further comprising: performing at least one of selecting, sorting, evaluating, and analyzing the electronic invoice according to the current state" as the system may provide a sort area to allow returned results to be sorted in ascending or descending order according to the following exemplary criteria: due date, invoice number, invoice date, purchase order number, net amount due, store or location number, and invoice aging (Ludwig Paragraph 0080).

With respect to claim 12, Ludwig teaches "wherein the current state is selectable by the user according to predefinable events" as in this section, the system may permit biller system users to be associated with specific system events, which associations the system may be adapted to store as global information on the database. Any time one of these specific events occurs, the system may generate an automatic e-mail and send it to the selected list of biller system users. For example, exemplary distribution list choices may include: invoices loaded successfully, invoices

loaded unsuccessfully, invoice adjusted, payment authorized, payment canceled, payment completed, and payment notification (**Ludwig** Paragraph 0104). The system may only permit invoices with the status of "paid", "presented", or "viewed" to be closed. All other invoice states may indicate payer workflow is in progress, and the system may not permit invoices having such states to be closed (**Ludwig** Paragraph 0105).

The system may permit a biller system user to select an option 605 to display invoices based on selected criteria and/or specify general search criteria for listing invoices. Depending on the selection, the system may direct the user to a "view options" page 606 for filtering and sorting (Ludwig Paragraph 0080).

With respect to claim 13, Ludwig teaches "the method of claim 8, wherein the method is for use in business software, particularly in an enterprise resource planning software" as the business service provider system 16 may be an exchange or other service bureau application providing a plurality of business processing services to its clients (which may include the biller system 12 and/or payer system 14). One such business processing service may be electronic bill presentment and payment, as may be provided using a system and/or method consistent with the invention (Ludwig Paragraph 0027).

Group of claims 14, 16, 18-19 and 20, 22, 24-25 are essentially the same as group of claims 8, 10, and 12-13 except they set forth the claimed invention as system

and a computer-readable medium comprising instructions and are rejected for the same reasons as applied hereinabove.

With respect to claim 28, Ludwig teaches "an electronic data structure for an electronic data record according to any one of claims 1 and 3-7" as the exemplary embodiments of the system of the present invention described herein may be embodied as one or more distributed computer program processes, data structures (Ludwig Paragraph 0156).

Claim 29 is essentially the same as claim 13 except it sets forth the claimed invention as an electronic data structure and is rejected for the same reason as applied hereinabove.

## Response to Arguments

5. Applicant's arguments filed on 02/16/2007 have been considered but are moot in view of the new ground(s) of rejection.

See above rejections for the arguments. In these arguments applicant relies on the amended claims and not the original ones.

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Claims must be given the broadest reasonable interpretation during examination and limitations appearing in the specification but not recited in the claim are not read into the claim (See M.P.E.P. 2111 [R-I]).

## **Contact Information**

6. Any inquiry concerning this communication or earlier communications from the examiner should be directed to Usmaan Saeed whose telephone number is (571)272-4046. The examiner can normally be reached on M-F 8-5.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Hosain Alam can be reached on (571)272-3978. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

Jemaan Saeed Palent Examiner

Leslie Wong V

US June 14, 2007

MOHAMMAD ALI MOHAMMAD ALI MARY EXAMINER